

COPY OF PAPERS ORIGINALLY FILED

Request for Corrected Filing Receipt Docket No. 003.0254.01

BADEN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bardy) Oroup Art Unit: 3736.
Serial No.: 10/042,402	Examiner: Unassigned 2002
Filed: January 7, 2002) NAR 2 TECHNOLOGY CENTER RETURN
For: System And Method For Providing Diagnosis and) TECHNOLO
Monitoring Of Congestive Heart Failure For Use)
Use In Automated Patient Care)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt for the above-identified patent application, which has been marked in red ink to identify the correction needed. The correction is as follows:

Under Applicant(s), replace "Gust H. Bardy, Seatle, WA" with -- Gust H. Bardy, Seattle, WA --.

Under Title, please replace "System and method for providing diagnosis and montoring of congestive heart faliure for use in automated patient care" with -- System and method for providing diagnosis and monitoring of congestive heart failure for use in automated patient care-

A copy of the Declaration as filed with the above-identified patent application, showing the correct spelling of Applicant's city, and a copy of the Patent Application Transmittal, showing the correct spelling of the title, are enclosed herewith.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Telephone: (206) 381-3900

Facsimile: (206) 381-3999

Dated:

FFB 2 0 2002

Patrick J.S. Inouye, Reg. No. 40,297

The Law Offices of Patrick J.S. Inouye 810 3rd Avenue, Suite 258

Seattle, WA 98104

PATENT TRADEMARK OFFICE





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER FILING DATE FIL FEE REC'D GRP ART UNIT ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 10/042,402 777 01/07/2002 3736 003.0254.01 23 32

CONFIRMATION NO. 9038

22895 PATRICK J S INOUYE P S 810 3RD AVENUE **SUITE 258** SEATTLE, WA 98104



FILING RECEIPT OC00000007454050

Date Mailed: 02/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gust H. Bardy Seatle,

Seattle

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/441,623 11/16/1999 PAT 6,336,903

Foreign Applications

If Required, Foreign Filing License Granted 02/08/2002

Projected Publication Date: 05/23/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for providing diagnosis and montoring of congestive heart fallure for use in

automated patient care

Preliminary Class

600

monitor

failure



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

112000

MAR 2 9 2002

GRANTED

TECHNOLOGY C.T. L. DIGITAL

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

,



Freceipt Receipt

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, rio persons are recognized point to a collection of information unless it displays a valid OMB control number.

TRANSMITT		Application Number	10/042,402		
FORM		Filing Date	Jan 7, 2002		
(to be used for all correspondence after	initial filing)	First Named Inventor			
		Group Art Unit	Unassigned Control		
		Examiner Name	Unassigned Cilling		
Total Number of Pages in This Sub	mission	Attorney Docket Numb	per 003:0254.01		
	ENCLOSURES	(check all that apply)			
Fee Transmittal Form	Assignr	nent Papers Application)	After Allowance Communication to Group		
Fee Attached	Drawing		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Licensii	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition	:	Proprietary Information		
Affidavits/declaration(s)	Provisio	to Convert to a nal Application	Status Letter		
Extension of Time Request	Power of Change Address	of Attomey, Revocation of Correspondence	Other Enclosure(s) (please identify below):		
Express Abandonment Request Terminal Disclaimer Request for Corrected Filing Recei		Request for Corrected Filing Receipt			
Information Disclosure Statement	Reques	t for Refund	Copy of Declaration as Filed with Patent Application		
Certified Copy of Priority Document(s)	CD, Nu	mber of CD(s)	Postcard		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks				
SIGNAT	URE OF APPLI	CANT, ATTORNEY, OR	AGENT		
Firm Law Offices of Patrick J.S. Inquive					
or Individual name					
Signature	Signature				
Date	EB X 0 2002				
CERTIFICATE OF MAILING					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:					
Type or printed name Case Leichter					
Signature		Da	ate 170/02		

Burden Hour Statement: This form is estimated to take 0.2 norths to comblete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ICATION TRANSMITTAL **Under Small Entity Status**

Attorney Docket No. 003.0254.01

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Gust H. Bardy, entitled System And Method For Providing Diagnosis And Monitoring Of Congestive Heart Failure For Use In Automated Patient Care. L. 12 10 13 1 1 for a(n):

101 α(ιι).		
() Original	Patent Application.	AAR 202 2002
(X) Continui (X) (X)	ing Application (prior application not abandoned): Continuation () Divisional () Continua of prior application number 09/441.623. Filed on: Novemb A statement claiming priority under 35 USC § 120 has been	tion-in-part (CIP) ter 16, 1999. n added to the specification.
MAR I 2008 (X) (X)	Oath or Declaration: () A Newly Executed Combined Declaration and Power of () Signed. (X) A Copy from a Prior Application for Continuation/Divinuation of Continuation (X) Incorporation by Reference. The entire disclosure oath or declaration is supplied, is considered as be application and is hereby incorporated herein by reference () Signed Statement Deleting Inventor(s) Named in the Formal Figures Transmittal Letter. (X) Return A Duplicate Copy of this Form.	() Partially Signed. isional (37 CFR § 1.63(d)). tof the prior application, from which a copy of the sing part of the disclosure of the accompanying eference.
(X) (X)	Other: 8 published references	

NO. FILED	NO. EXTRA	RATE	FEE
	25	\$9.00	\$ 225.00
43	1	\$42.00	\$ 42.00
Independent Claims 4 1 \$42.00 Multiple Dependent Claims (if applicable)			
Assignment Recording Fee Basic Filing Fee			
	<u> </u>	Total Filing Fee	\$370.00 \$ 777.00
1	45 4 f applicable)	45 25 4 1 f applicable)	45 25 \$9.00 4 1 \$42.00 f applicable)

pursuant to 37 CFR § 1.25. At any time during the to Deposit Account ____501144 pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully spring (ted,

Attorney of Record Patrick J.S. Inouye, Esq., Reg. No. 40297

Date: January 7, 2002

Correspondence Address:

Law Offices of Patrick J.S. Inouye 810 Third Avenue Suite 258 Seattle, WA 98104

Phone: (206) 381-3900 (206) 381-3999 Fax:

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents

Box Patent Application Washington 0.C. 20231

Typed Name: Case's Leichter

Express Mail Label No.: EV029319895US

Date of Deposit: January 7, 2002

PATENT TRADEMARK OFFICE